## Electronic Filing System (EFS) Data Electronic Patent Application Submission USPTO Use Only

EFS ID:

12583

Application ID:

09682713

**WEB BASED METHODS AND** 

Title of Invention:

SYSTEMS FOR MANAGING COMPLIANCE ASSURANCE

**INFORMATION** 

First Named Inventor:

R. Mukund

Domestic/Foreign Application:

**Domestic Application** 

Filing Date:

null

**Effective Receipt Date:** 

2001-10-09

Utility Patent Filing

Filing Type:

new-utility

**Confirmation Number:** 

Submission Type:

0

**Attorney Docket Number:** 

17652-00012

cn=Michael Tersillo, ou=Registered Attorneys, ou=Patent and

Digital Certificate Holder:

Trademark Office, ou=Department of Commerce, o=U.S.

Government, c=US

Certificate Message Digest:

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Total Fees Authorized:

\$1392.0

Payment Category:

**DA** - Deposit Account

**Deposit Account Number:** 

12384

**Deposit Account Name:** 

Michael Tersillo

# M 40 m 37

## TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 17652-00012

## WEB BASED METHODS AND SYSTEMS FOR MANAGING COMPLIANCE **ASSURANCE INFORMATION**

First Named Inventor: R. Mukund

SUBMITTED BY

Name:

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Tersillo

Date Signed: 20011009

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

#### Attached Files:

bibd-transmittal

17652-00012apds.xml

fee-transmittal

17652-00012fee.xml

specification

Specification.xml

declaration

Dec.1.tif

declaration

Dec. 2.tif

Attached Image File(s):

Dec.1.tif

Dec. 2.tif

Comments:

### **COMBINED DECLARATION AND POWER OF ATTORNEY**

Attorney Docket No.

17652-00012

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: WEB BASED METHODS AND SYSTEMS FOR MANAGING COMPLIANCE ASSURANCE INFORMATION the specification of which:

| •  |   |   |   |   |
|--|---|---|---|---|
| (check one)  | [T]   | is attached hereto  |   |   |
|  | []  | was filed on  | as Application Se   | erial No.   |
|  |   |   | on  |   |
| I hereby state that I have reviewe<br>amended by any amendment refe  | d and understa  | and the contents of th  | e above identified spea   | cification, including the claims, as  |
| I acknowledge the duty to disclo<br>Title 37, Code of Federal Regulat  | se information<br>tions §1.56(a).                                     | which is material to  | the examination of th   | nis application in accordance with  |
| application in the manner provide  | er or each or<br>ed by the first<br>defined in Ti                     | paragraph of Title 35   | pplication is not disc<br>, United States Code,   | States application(s) listed below closed in the prior United States §112. I acknowledge the duty to 6(a) which occurred between the opplication: |
| Application Serial No.   |   | Filing Date   | Status (pate  | ented, pending, abandoned)  |
| I hereby claim the benefit under below:  Application Serial No.  |   | ed States Code §119(  | A   | s provisional application(s) listed  dditional provisional application  ambers are listed on a  |
|  |   |   | . su  | applemental iority sheet attached hereto.   |
| POWER OF ATTORNEY: As a n<br>this application and transact all<br>registration number)   | amed inventor<br>business in th                                       | r, I hereby appoint the<br>ne Patent and Traden                     | e following attorney(s<br>ark Office connected  | s) and/or agent(s) to prosecute<br>therewith. (list name and  |
| Ronald E. Myrick, Reg. No. 26, W. Mitchell, Reg. No. 25602; J Winter, Reg. No. 38,364, all o Beulick, Reg. No. 33,338; Patri Reg. No. 43,476; Robert B. Rec Reg. No. 47,732; Gordon F. Sie Armstrong Teasdale, One Metro | f General Elect<br>ck W. Rasche,<br>eser III, Reg. N<br>eckmann, Reg. | tric Company, 3135, Reg. No. 37,916; No. 37,916; No. 45,548; Thomas | Bernard Snyder, Reg.<br>Easton Tumpike, Fairt<br>Iichael Tersillo, Reg. N<br>M. Fisher, Reg. No. 4<br>Wilel M. Fitzgereld, Re | No. 24,843; Catherine J. field, CT 06431; John S. No. 42,180; Bruce T. Atkins, 7,564; Joseph A. Lanahan,  |
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|  |   |   |   | j l   |

## COMBINED DECLARATION AND POWER OF ATTORNEY Attorney Docket No. 17652-00012

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

| SOLE OR FIRST INVENTOR:   |       |                  |
|---|-------|------------------|
| Full Name: R. Mukund  |       |                  |
| Signature: UMnU   | Date: | Oct Ober 6, 200) |
| Residence: Cincinnati, OH 45249                                 |       | •                |
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| SECOND JOINT INVENTOR, IF ANY:                                  |       |                  |
| Full Name:  |       |                  |
| Signature:  | Date: | A.,              |
| Residence:  |       |                  |
| Citizenship:  |       |                  |
| Post Office Address:  |       |                  |

## FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

### **TOTAL FEES AUTHORIZED: \$ 1392**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

**Deposit Account Number:** 

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Deposit Account Name:

**Armstrong Teasdale LLP** 

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

**Authorized Name:** 

Michael Tersillo

Electronic Signature Mark:

Michael Tersillo

Date Signed:

20011009

### **BASIC FILING FEE**

| Fee Description    | Fee Code | Fee Paid |  |
|--------------------|----------|----------|--|
| Utility Filing Fee | 101      | \$ 740   |  |

Subtotal For Basic Filing Fee: \$ 740

#### **EXTRA CLAIM FEES**

|                       | Fee Code | Fee   | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 40      | 103      | \$ 18 | 20           | \$ 360   |
| Independent Claims: 6 | 102      | \$ 84 | 3            | \$ 252   |

Subtotal For Extra Claims Fees: \$ 612

### **ADDITIONAL FEES**

| Fee Description                                   | Fee Code | Fee Paid |
|---|----------|----------|
| Recording Each Patent Assignment Per Property Fee | 581      | \$ 40    |

Subtotal For Additional Fees: \$ 40